

Race and Ethnicity and Citizenship Updates and 2020 Data Products Federal Register Notice

August 23, 2018

2018 Census Information Centers Annual Training Conference

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Overview

- U.S. Office of Management and Budget 1997 Race and Ethnicity Standards
- Census Bureau's decision for the 2020 Census
 - Race and Ethnicity Question
 - Citizenship Question
- 2020 Data Products Federal Register Notice
- Next steps

U.S. Office of Management and Budget

Standards for Race and Ethnicity (1997)

All Federal statistical agencies, including the Census Bureau, must adhere to the 1997 OMB *Revisions to the Standards for the Classification of Federal Data on Race and Ethnicity*.

- OMB standards specify five minimum categories for data on race and two categories for data on ethnicity:

RACE: American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, White

ETHNICITY: Hispanic or Latino, Not Hispanic or Latino

- Race and ethnicity categories are socio-political constructs; should not be interpreted as scientific or anthropological in nature
- Respondents should be offered the option of reporting more than one race
- When race and ethnicity questions are collected separately, ethnicity should be collected first
- Individual responses are based upon self-identification
- When collecting data via self identification, a two separate questions approach should be used

Source: www.gpo.gov/fdsys/pkg/FR-1997-10-30/pdf/97-28653.pdf

Census Bureau Decision for 2020 Census Separate Ethnicity and Race Questions

- Census Bureau issued memorandum to document 2020 Census Program decision on race and ethnicity questions (January 26, 2018)
- Census Bureau needed to make a decision on design of race and ethnicity questions by December 31, 2017 to prepare 2020 Census systems, and deliver final 2020 Census question wording to Congress by March 31, 2018
- In accordance with current OMB standards, Census Bureau will use two separate questions for collecting data on race and ethnicity

Source: www.census.gov/programs-surveys/decennial-census/2020-census/planning-management/memo-series/2020-memo-2018_02.html



UNITED STATES DEPARTMENT OF COMMERCE
Economics and Statistics Administration
U.S. Census Bureau
Washington, DC 20233-0001

2020 CENSUS PROGRAM MEMORANDUM SERIES: 2018.02

Date: January 26, 2018

MEMORANDUM FOR: The Record

From: Albert E. Fontenot, Jr. (January 26, 2018)
Associate Director for Decennial Census Programs

Subject: Using Two Separate Questions for Race and Ethnicity in 2018 End-to-End Census Test and 2020 Census

Contact: Burton Reist, Chief
Decennial Communications and Stakeholder Relations
301-763-4155

This memorandum documents the 2020 Census Program decision to continue to use two separate questions for collecting data on race and ethnicity in the 2018 End-to-End Census Test and the 2020 Census.

Overview
The Census Bureau needed to make a decision on the design of the race and ethnicity questions by December 31, 2017 in order to prepare 2020 Census systems, and deliver the final 2020 Census question wording to Congress by March 31, 2018.

Discussion
The Census Bureau will continue to use two separate questions for collecting data on race and ethnicity in the 2018 End-to-End Census Test, and as the proposed format for the 2020 Census. The race and ethnicity questions include several design changes that were tested over the past decade in effort to improve the designs from the 2010 Census.

OMB Categories and Definitions
All Federal statistical agencies, including the Census Bureau, must adhere to the 1997 Office of Management and Budget (OMB) *Revisions to the Standards for the Classification of Federal Data on Race and Ethnicity*. The OMB standards specify five minimum categories for data on race: American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, and White; and two categories for data on ethnicity: "Hispanic or Latino" and "Not Hispanic or Latino." The OMB standards explain that the specified race and ethnicity categories are socio-political constructs and should not be interpreted as being scientific or anthropological in nature. The OMB standards provide the following definitions for the race and ethnicity categories:



United States
Census
Bureau



United States
Census
2020

census.gov

2020 Census Proposals

Separate Ethnicity Question and Separate Race Question

- 2020 Census question designs adhere to 1997 OMB standards for race and ethnicity
- Census Bureau will not use combined question format for collecting race and ethnicity; 1997 OMB standards require two separate questions for self-response
- Census Bureau will not use “Middle Eastern or North African” category
- Several significant changes from 2010 Census questions proposed for race and ethnicity in 2020 Census

2020 Census Proposals

Separate Race Question

- Question on race is asked second; OMB standards direct that ethnicity should be asked before race
- “What is this person’s race?”
- Respondents may report multiple races

What is this person's race?
 Mark one or more boxes **AND** print origins.

White – *Print, for example, German, Irish, English, Italian, Lebanese, Egyptian, etc.* ↴

Black or African Am. – *Print, for example, African American, Jamaican, Haitian, Nigerian, Ethiopian, Somali, etc.* ↴

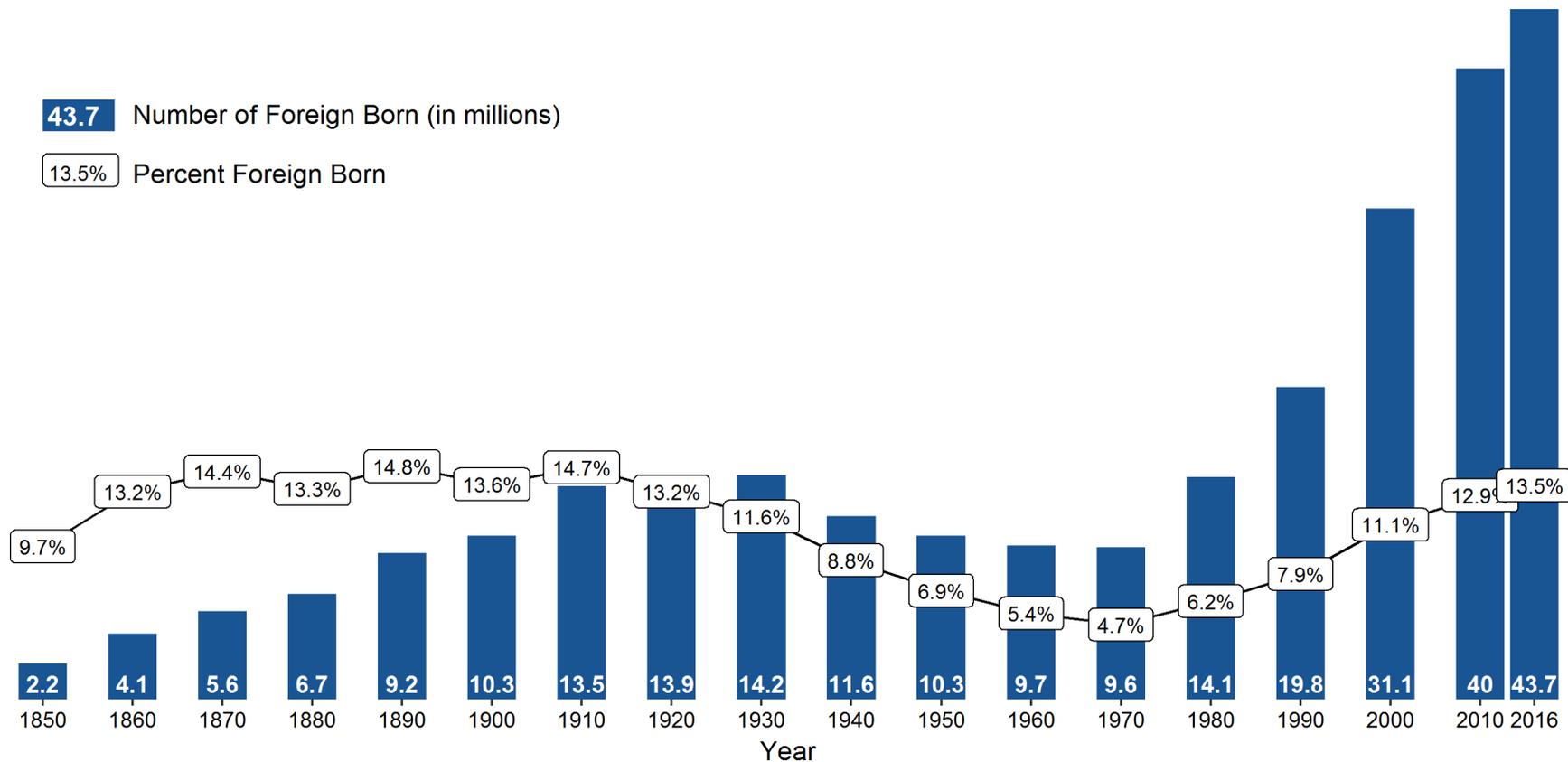
American Indian or Alaska Native – *Print name of enrolled or principal tribe(s), for example, Navajo Nation, Blackfeet Tribe, Mayan, Aztec, Native Village of Barrow Inupiat Traditional Government, Nome Eskimo Community, etc.* ↴

Chinese Vietnamese Native Hawaiian
 Filipino Korean Samoan
 Asian Indian Japanese Chamorro
 Other Asian – *Print, for example, Pakistani, Cambodian, Hmong, etc.* ↴ Other Pacific Islander – *Print, for example, Tongan, Fijian, Marshallese, etc.* ↴

Some other race – *Print race or origin.* ↴

Citizenship

The Foreign-Born Population 1850 – 2016



Source: U.S. Census Bureau, Census of Population, 1850-2000; 2010 and 2016 American Community Survey, 1-year estimates.

History of the Citizenship Question

1820

Foreigners not naturalized

1830

WHITE PERSONS included in foregoing.

ALIENS—Foreigners not naturalized

1870

Male Citizens of U.S. of 21 years of age and upwards

1890

13	Number of years in the United States.
14	Whether naturalized.
15	Whether naturalization papers have been taken out.

1900 – 1950

- Similar to 1890
- Asked of foreign-born individuals: Naturalized or “alien”

1960

- Citizenship asked of foreign-born individuals in New York state and Puerto Rico only

Testing and Refining the Citizenship Question

1970, 1980

12. If this person was born in a foreign country –
- a. Is this person a naturalized citizen of the United States?
- Yes, a naturalized citizen
 - No, not a citizen
 - Born abroad of American parents

1980 CRS

15a. Most persons who are in the United States fall into one of the following groups.
To which of these groups do you belong?
Show flashcard C

1 <input type="checkbox"/> Citizen of a foreign country, temporary resident of the United States	} SKIP to 15c
2 <input type="checkbox"/> Citizen of a foreign country, permanent resident of the United States	
3 <input type="checkbox"/> Naturalized citizen of the United States	} SKIP to 16, page 6
4 <input type="checkbox"/> Born abroad of American parents	
5 <input type="checkbox"/> Native-born citizen of the United States	

1986 Test Censuses

Is this person a **CITIZEN** of the United States?

- 1 Yes, born in the United States – Please skip to 15a
- 2 Yes, born in Puerto Rico, Guam, or the U.S. Virgin Islands
- 3 Yes, born abroad of American parent or parents
- 4 Yes, U.S. citizen by naturalization
- 5 No, not a citizen of the United States

1990, 2000, ACS 2005 – 2007

13

Is this person a **CITIZEN** of the United States?

- Yes, born in the United States → Skip to 15a
- Yes, born in Puerto Rico, Guam, the U.S. Virgin Islands, or Northern Marianas
- Yes, born abroad of American parent or parents
- Yes, a U.S. citizen by naturalization
- No, not a citizen of the United States

ACS Citizenship Question

ACS 2008 – Present

Is this person a citizen of the United States?

- Yes, born in the United States
- Yes, born in Puerto Rico, Guam, the U.S. Virgin Islands, or Northern Marianas
- Yes, born abroad of U.S. citizen parent or parents
- Yes, U.S. citizen by naturalization – *Print year of naturalization.* ↘

- No, not a U.S. citizen

PRCS

Is this person a citizen of the United States?

- Yes, born in Puerto Rico
- Yes, born in a U.S. state, District of Columbia, Guam, the U.S. Virgin Islands, or Northern Marianas
- Yes, born abroad of U.S. citizen parent or parents
- Yes, U.S. citizen by naturalization – *Print year of naturalization.* ↘

- No, not a U.S. citizen

Data Products and Geography

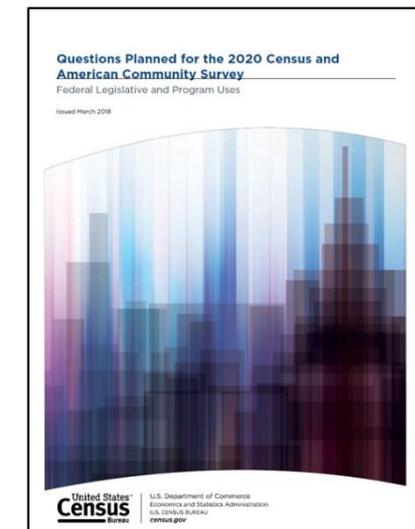
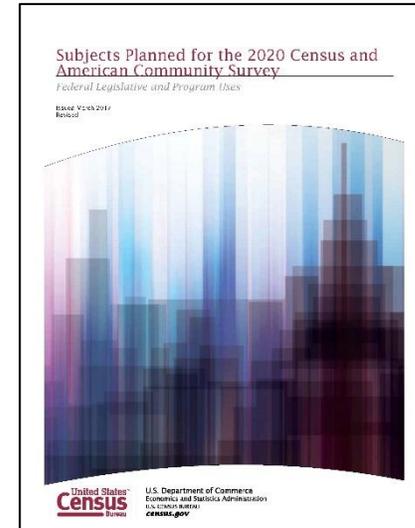
- Citizenship available cross-tabbed by other information like Sex, Age, Place of Birth, Year of Entry, Race, Hispanic Origin
- Citizen Voting-Age Population (CVAP) at block group.
 - Total Pop, Pop 18+, Citizens, Citizens 18+
 - Non-Hispanic: AIAN, Asian, Black, NHPI, AIAN+White, Asian+White, Black+White, AIAN+Black, Remainder of 2+ Races. Hispanic.

- **1 Year:** Nation, State (including DC and Puerto Rico), selected other geographies (population > 65,000)
- **5 Year:** Nation, State (including DC and Puerto Rico), all other geographies including counties (3,220), tracts (74,001) and block groups (220,333)

B05001	United States	
	Estimate	Margin of Error
Total:	323,127,515	*****
U.S. citizen, born in the United States	274,384,971	+/-128,741
U.S. citizen, born in Puerto Rico or U.S. Island Areas	1,969,031	+/-31,963
U.S. citizen, born abroad of American parent(s)	3,034,168	+/-33,422
U.S. citizen by naturalization	21,238,372	+/-80,462
Not a U.S. citizen	22,500,973	+/-129,193

2020 Census and American Community Survey Subjects and Questions Requirements

- Section 141(f) of the Census Act requires that the **subjects** included in the next census be submitted to Congress no later than 3 years before the census date.
 - ✓ This document was issued on **March 28, 2017**.
- The Census Act also requires that the **questions** included in the next census be submitted to Congress no later than 2 years before the census date.
 - A document that meets this requirement for the 2020 Census and the ACS was submitted to Congress on **March 29, 2018**.
 - Available at <https://www2.census.gov/library/publications/decennial/2020/operations/planned-questions-2020-ac.pdf>



How a Question Becomes Part of the Census or American Community Survey

Standard Considerations

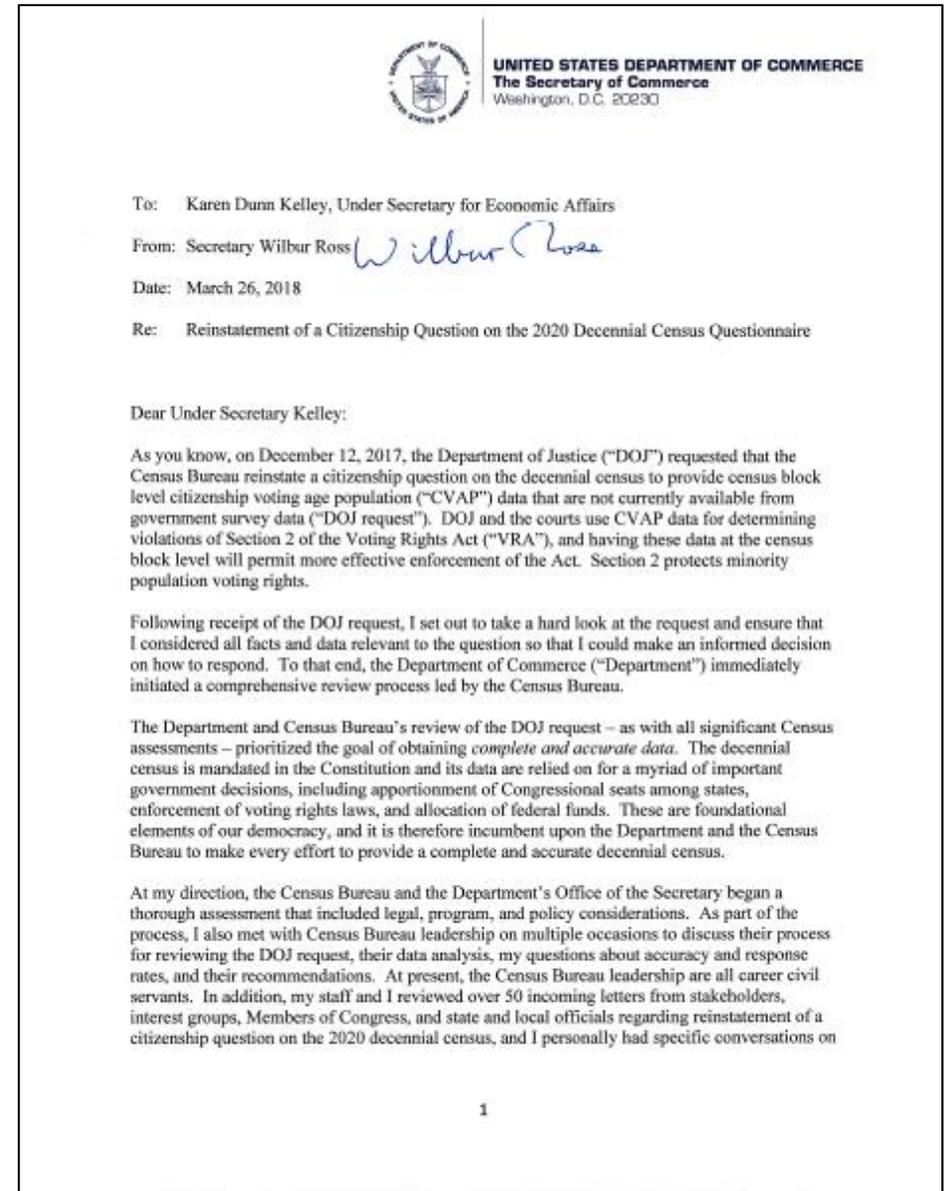
- The **determination of content** for the Decennial Census Program rests with the Secretary of Commerce.
- Requests undergo **legal, technical, and policy review** to determine whether the question should be included.
- If the question is not currently used in an ongoing survey, it is the Census Bureau standard **develop and test the wording** of the new question.
- The Census Bureau **submitted to Congress** the planned questions for the 2020 Census and American Community Survey on March 29, 2018.
- In compliance with the Paperwork Reduction Act, the Census Bureau has **published a Federal Register Notice**.

Census Bureau Decision for 2020 Census Citizenship Question

Department of Commerce (DOC) issued a memorandum to document 2020 Census Program decision on Citizenship question (March 26, 2018)

If you are interested in the decision process, the U.S. Department of Commerce Secretary made the [decision](#) to reinstate the citizenship question on the 2020 Census. He spelled out his reasoning in a memo that is available on the Commerce Department website (see below).

<https://www.commerce.gov/sites/commerce.gov/files/2018-03-26_2.pdf>.



Proposed Citizenship Question for 2020 Census

Citizenship*

Asked since 1820

A question about a person's citizenship is used to create statistics about citizen and noncitizen populations.

Is this person a citizen of the United States?

Yes, born in the United States

Yes, born in Puerto Rico, Guam, the U.S. Virgin Islands, or Northern Marianas

Yes, born abroad of U.S. citizen parent or parents

Yes, U.S. citizen by naturalization – *Print year of naturalization* ↘

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No, not a U.S. citizen

*Citizenship asked 1820, 1830, 1870, and 1890 to present. In 1960, this question was asked of a subset of the population. From 1970-2000, this question was asked on the decennial census long form. Since 2005, it has been asked annually on the American Community Survey.

2020 Data Products Federal Register Notice

Review of Decennial Data Products

- We are reviewing the potential suite of 2020 Decennial Census data products to ensure it can support the Federal Government and the American people's data needs
- The demographics included in the Decennial data products are driven by programmatic and legislative needs
- We are reaching out to and requesting feedback from stakeholders, including federal agencies, think tanks, statistical organizations, state, local, and tribal government groups, businesses, non-profits, and the public

Review of Decennial Data Products

- A similar Content Review process was done for the American Community Survey (ACS) in 2014 to assess subjects on the survey and the resulting suite of ACS data products
- Work is underway at the Census Bureau to enhance our disclosure avoidance procedures

Feedback We Are Seeking

- *Which* specific decennial data products do you require?
- At what *specific levels of geography* do you require data?
- *Why* and *how* do you use these products and geographic levels?
- Is there a legal mandate for you to use certain kinds of decennial census data?
- Is there a legal, programmatic or statistical requirement for these data?

Decennial Data Products

- Summary Files:
 - Summary File 1 (SF1)
 - Summary File 2 (SF2)
 - American Indian and Alaska Native Summary File (AIAN SF)
- Derived Products:
 - Demographic Profile (DP)
 - Summary of Population and Housing Characteristics Report Series (CPH-1)
 - Population and Housing Unit Counts Report Series (CPH-2)
 - Census of Population and Housing Tables (CPH-Ts)
- We are **not** seeking feedback on products we are required by law to produce (i.e., apportionment counts and Public Law Summary File)

Microsoft Word ribbon: Clipboard (Cut, Copy, Paste, Format Painter), Font (Calibri, 11, Bold, Italic, Underline, Paragraph, Font Color, Background Color), Alignment (Left, Center, Right, Justify, Merge & Center), Number (General, Currency, Percentage, Decimals, Thousands Separator), Styles (Conditional Formatting, Format as Table, Cell Styles), Cells (Insert, Delete, Format), AutoSum, Fill, Clear, Sort & Filter, Find & Select.

L22

Table Number and Link	Title <small>NOTE: If your organization does not use a listed table, you may leave the cells associated with that table blank.</small>	2010 Lowest Level of Geography	Summary File 1		How do you currently use the data from this table? <small>Include any specific legal, statutory, or programmatic uses. Please cite any supporting federal laws or regulations.</small>	Why are Census statistics used for this? <small>Please provide a clear justification.</small>	Without Census data, how would your organization accomplish this activity? <small>List other data sources, etc.</small>	Who is the user of the Census data? <small>List who is using the Census data from this table.</small>	Who is affected by this use? <small>List who is affected by your organization's use of the data in this table.</small>	How much funding is distributed based on this data? <small>List any funding that is distributed based on the data from this table.</small>	Additional Comments
			Lowest level of geography needed <small>Enter the lowest level of geography your organization needs data for each table (e.g., Block). See the tab 'Geographies' for a list of census geographies.</small>	Additional levels of geography needed <small>Enter additional levels of geography your organization needs data for each table (e.g., County Subdivision). See the tab 'Geographies' for a list of census geographies.</small>							
Population Tables - Summarized to the Block Level											
P1	TOTAL POPULATION	Block									
P2	URBAN AND RURAL	Block									
P3	RACE	Block									
P4	HISPANIC OR LATINO ORIGIN	Block									
P5	HISPANIC OR LATINO ORIGIN BY RACE	Block									
P6	RACE (TOTAL RACES TALLIED)	Block									
P7	HISPANIC OR LATINO ORIGIN BY RACE (TOTAL RACES TALLIED)	Block									
P8	RACE	Block									
P9	HISPANIC OR LATINO, AND NOT HISPANIC OR LATINO BY RACE	Block									
P10	RACE FOR THE POPULATION 18 YEARS AND OVER	Block									
P11	HISPANIC OR LATINO, AND NOT HISPANIC OR LATINO BY RACE FOR THE POPULATION 18 YEARS AND OVER	Block									
P12	SEX BY AGE	Block									
P13	MEDIAN AGE BY SEX (1 EXPRESSED DECIMAL)	Block									
P14	SEX BY AGE FOR THE POPULATION UNDER 20 YEARS	Block									
P15	HISPANIC OR LATINO ORIGIN OF HOUSEHOLDER BY RACE OF HOUSEHOLDER	Block									
P16	POPULATION IN HOUSEHOLDS BY AGE	Block									
P17	AVERAGE HOUSEHOLD SIZE BY AGE (2 EXPRESSED DECIMALS)	Block									
P18	HOUSEHOLD TYPE	Block									
P19	HOUSEHOLD SIZE BY HOUSEHOLD TYPE BY PRESENCE OF OWN CHILDREN	Block									
	HOUSEHOLDS BY PRESENCE OF PEOPLE UNDER 18 YEARS OF AGE BY HOUSEHOLD TYPE					23					



Next Steps

Notice

Soliciting Feedback From Users on 2020 Census Data Products

A Notice by the Census Bureau on 07/19/2018

This document has a comment period that ends in 46 days. (09/17/2018)

SUBMIT A FORMAL COMMENT

PUBLISHED DOCUMENT

AGENCY:

Bureau of the Census, Department of Commerce.

ACTION:

Notice and Request for Comment.

SUMMARY:

Since 1790, a census of the U.S. population has been conducted every 10 years, as required by the U.S. Constitution. Following the completion of the 2020 Census, the Bureau of the Census (Census Bureau) will disseminate several data products, such as including summary and detailed data tables, national and state demographic profiles, and topical briefs. The Census Bureau anticipates publishing the plans for 2020 Census data products in a future notice and seeks information on how products for prior decennial censuses were used to help determine which products to disseminate for the 2020 Census. An upcoming live question-and-answer webinar will provide an opportunity to ask any procedural questions about how to respond to this Notice.

DATES:

Comments on this notice must be received by September 17, 2018.

ADDRESSES:

Please address all written comments to Karen Battle, Chief, Population Division, U.S. Census Bureau, 4600 Silver Hill Road, Room 6H174, Washington, DC 20233, or by email at POP.2020.DataProducts@census.gov.

You may also submit comments, identified by the following Census Bureau Docket Identification Number USBC-2018-0009, to the Federal e-Rulemaking Portal: <http://www.regulations.gov>. All comments received are part of the public record. No comments will be posted to <http://www.regulations.gov> for public

DOCUMENT DETAILS

Printed version:

[PDF](#)

Publication Date:

07/19/2018

Agencies:

[Bureau of the Census](#)

Dates:

Comments on this notice must be received by September 17, 2018.

Comments Close:

09/17/2018

Document Type:

Notice

Document Citation:

83 FR 34111

Page:

34111-34112 (2 pages)

Agency/Docket Number:

Docket Number 180608532-8537-01

Document Number:

2018-15458

DOCUMENT DETAILS

- View the webinar “Soliciting Feedback from Users on 2020 Census Data Products” on census.gov
- 60-day public comment period
- Comments must be submitted by September 17, 2018
- Email all feedback to POP.2020.DataProducts@census.gov or submit via regulations.gov

<https://www.federalregister.gov/documents/2018/07/19/2018-15458/soliciting-feedback-from-users-on-2020-census-data-products>

Next Steps

- Update advisors, stakeholders, and public about 2020 Census plans for separate ethnicity question and race question
- Finish conducting the 2018 End-to-End Census Test
- Finalize question designs, data collection specifications, processing plans, and editing and tabulation plans for 2020 Census for ethnicity, race, and citizenship.
- Prepare for 2020 Census and future race/ethnicity research discussions

Questions