THE SURVEY OF INCOME AND PROGRAM PARTICIPATION

SUMMARY OF THE CONTENT OF THE 1984 PANEL OF THE SURVEY OF INCOME AND PROGRAM PARTICIPATION

No. 05

Delma Frankel

U.S. Department of Commerce U.S. CENSUS BUREAU

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INTRODUCTION

In planning domestic policy, the Federal Government needs information about the distribution of income received directly as money or indirectly as in-kind benefits (food stamps, Medicaid, welfare, etc.) and the effects of tax and transfer programs on this distribution. Current data programs did not sufficiently meet this need. As a result, the Survey of Income and Program Participation (SIPP) was designed to provide data on the income and economic situation of the U.S. population on a continuing basis so that levels of economic well-being and changes in these levels can be measured over time.

SIPP allows information for many topics to be integrated into a single data base so that the interaction between tax, transfer, and other government and private policies can be studied. The survey has a "core" of labor force and income questions that remain fixed throughout the life of a panel. These questions are supplemented periodically with others designed to meet specific needs. For example, questions on eligibility for government programs, retirement and pension coverage, and individual net worth are included in the survey.

A program to develop the SIPP, called the Income Survey Development Program (ISDP), was undertaken between 1975 and 1981 to design and test new procedures for collecting income and related socioeconomic data on a subannual basis. The ISDP was directed by the Office of the Assistant Secretary for Planning and Evaluation in the Department of Health and Human Services (DHHS) and was carried out jointly by the Bureau of the Census, which participated in planning and carried out the field work, and the Social Security Administration, which administers the major cash income security programs. During this time data requirements were formulated by a DHHS-wide intradepartmental Steering Committee and technical coordination was provided by Federal interagency committees. In late 1981, virtually all ISDP research and planning funding for the ongoing SIPP program was deleted from the budget of the Social Security Administration. The loss of funding for fiscal year 1981 brought all work on the new survey to a halt. In fiscal year 1983, however, money for the initiation of the new survey was allotted in the budget of the Bureau of the Census. Work began almost immediately in preparation for the survey start in October 1983.

Much of the work of the development program is reflected in the design, procedures, and content of the SIPP. Advice and recommendations concerning the SIPP is now made through a SIPP Interagency Advisory Committee, established and chaired by the Office of Management and Budget (OMB). The committee consists of individuals representing the following departments and agencies: Department of Labor, Energy Information Administration, Social Security Administration, Department of Education, National Science Foundation, Department of Defense, Department of Commerce, Council of Economic Advisors, Department of Agriculture, Congressional Budget Office, Bureau of Economic Analysis, National Center for Education Statistics, Department of Health and Human Services, Bureau of Labor Statistics, Department of the Treasury, Veterans Administration, Bureau of Justice Statistics, and the Office of Management and Budget.

SIPP is a continuing series of national panels which consist of approximately 15,000 housing units per panel selected to represent the noninstitutional population of the United States. Each sample panel is divided into four approximately equal subsamples, called "rotation groups"; one rotation group will be interviewed in a given month. Thus, one cycle or "wave" of interviewing takes 4 consecutive months. Each person 15 years and older in the assigned household of the panel will be interviewed once every 4 months for 2 1/2 years to produce sufficient data for longitudinal analyses while providing a relatively short recall period for reporting monthly income. The reference period is the 4 months preceding the interview; for example, in October, the reference period is June through September. When the household is interviewed again in February, it is October through January. This interviewing plan will result in eight interviews per household.

Data collection for the 1984 Panel, the first SIPP Panel, began in October 1983. In February 1985, a new panel was introduced; hereafter, a new panel will be introduced annually every February. This design will allow cross-sectional estimates to be produced from a combined 1984-1985 Panel sample of approximately 33,000 households. This overlapping panel design will also enhance the estimates of change, particularly year-to-year.

There are four key questionnaire components for collecting SIPP data: 1) the control card, 2) the core set of questions repeated on each wave questionnaire, 3) the fixed topical modules assigned to specific waves, and 4) the variable topical modules to be added from time to time. (See figure 1 for the topical module schedule.)

During the first and second interview periods of the 1984 Panel (Waves 1 and 2), only questions considered to be core were asked. These questions obtained information on labor force and sources of income. During subsequent interview periods (Waves 3 through 9), the core questions are supplemented with questions (referred to as topical modules) on various topics.

The purpose of this paper is to broadly describe the questions considered to be basic core information for each household and the supplemental questions that were designed in consultation with other Federal agencies to meet specific needs. (For a more detailed description of the items included in the questionnaire, see Appendix A, "Selected Detailed Fields From the SIPP 1984 Panel Control Card, Core, and Topical Modules.")

WAVE 1--A DETAILED INCOME PROFILE

The Wave 1 interview period for the 1984 SIPP Panel (October 1983 - January 1984) was devoted to building an income profile for each person 15 years and older in a sample household.

The first step in the initial interview process with a household is to complete the control card. The control card is used to obtain and maintain information on the basic characteristics associated with households and persons, and to record information for operational control purposes. Characteristics recorded on the control card by the interviewer include the age, race, ethnic origin, sex, marital status, and educational level of each member of the household, as well as information on the housing unit and the relationships among members of the household. Also an ID number is assigned which will be used to link information from interview to interview.

A household respondent provides this information, which is updated, as appropriate, at each interview. The control card is also used to keep track of when persons enter and leave the household. Thus, a monthly record of changes in household composition will be available for use in generating point-in-time estimates, longitudinal estimates, and analyses of change over time. Space is also available to record information that will improve our ability to follow persons who move during the survey. In addition, after each visit, data on employment, source of income, etc., are transcribed from the core questionnaire to the control card so the data can be used in the next interview to assist in monitoring changes in employment status and income recipiency.

The next step is to ask the questions in the SIPP questionnaire. The questionnaire contains four sections: 1) Labor Force and Recipiency; 2) Earnings and Employment; 3) Amounts; and 4) Program Questions. In these sections, household members are asked about their labor force status, income, and program (food stamps, Medicare, welfare, Social Security, etc.) participation. These questions expand the data currently available on the distribution of cash and noncash income and are repeated at each interviewing wave.

Labor force questions are asked to determine whether each sample person had a job, was looking for work or on lay off, or outside the labor force. Also, questions are asked to obtain some idea about labor market discouragement, reasons why people were absent from work (including work disability status), whether persons looking for work were available to take jobs, and the specific weeks workers were employed.

People are asked if they are working for an employer or self-employed. They are asked the kind of business or industry in which they work, what kind of work they do, length of employment, how they are paid, and amount of pay. This information is collected for up to two jobs held either concurrently or sequentially during the 4-month reference period. When more than two jobs occur (about 3 percent of the cases), data are collected for the two with the greatest earnings. If self-employed, they are asked about their gross earnings; about the amount of income and net profit or loss of firm; about number of people they employed; about whether they were incorporated or in partnership; and about how many members of their household worked in the firm. Again, space is provided for up to two self-employment responses.

With respect to income, the survey collects up to six different income sources for each person. Sample respondents are asked about types of private, and State and local government income associated with old age and retirement (e.g., Social Security and Railroad Retirement, Medicare, and Medicaid), disability (e.g., Black lung and Social Security), unemployment (e.g., unemployment compensation), survivorship (e.g., life insurance policy), divorce (e.g., alimony), means-tested government transfer programs (e.g., food stamps and Aid to Families with Dependent Children (AFDC)), and miscellaneous forms of income (e.g., Foster Child Care). Also information is obtained about private health care coverage and financing of post-secondary education (e.g., Pell Grant (BEOG) and GI/VEAP benefits). They are asked about the amount of income they received for each income type on a monthly basis for the preceding 4 months. Individuals are also asked about which household members were the recipients of a particular support payment.

A series of questions gather information on assets held such as regular or passbook savings accounts, money market deposit accounts, savings certificates, interest-earning checking accounts, bonds, shares, royalties, and income from rental property.

People are also asked if assets are owned jointly or separately. If they have rental property income, they are asked the amount cleared after expenses. They are also asked for the amount of interest collected on any mortgages held. If interest information was not obtained, then they are asked for the average amount held in the asset.

Finally, several program questions are asked. These questions obtain information about subsidized housing, energy assistance, and free or reduced-price school lunches and breakfasts.

Other components of the survey instrument:

Income Source Summary (ISS)--This section of the questionnaire is a convenient and comprehensive reference for the interviewer. It is designed to serve as a bridge between the different sections of the questionnaire. Used in each interview with the Income Source List which is a comprehensive listing of all income, asset, and special indicator codes and names, it indicates which parts of the "Amounts Section," "Earnings and Employment Section," or "Labor Force/Recipiency Section" to fill for these income types or assets. The Income Source Summary and Income Source List are provided in appendices B and C.

Reminder Card/Callback Summary--This card, a part of the survey materials, is filled during an interview for persons who are not able to provide answers to certain critical items in the questionnaire such as Medicare claim number, social security number, amount of earnings from a job, or amounts held in interest-earning assets. These items are marked with a star (*) on the questionnaire. The reminder card contains a list of items designated as "callback" items. During the interview, the interviewer marks an "X" for the item on the reminder card if the respondent answers "don't know" to a callback item and agrees to provide the information when the interviewer calls back. Then this card is left with the respondent to fill in. At the same time, the interviewer marks these callback items in the Callback Summary section of the questionnaire (see appendix D). Using this section, the interviewer will contact the respondent by telephone after the interview to obtain the information which could not be provided during the interview. He/she will enter this information in the appropriate space on the Callback Summary.

WAVE 2--UPDATING THE INCOME PROFILE

In the Wave 2 interview period (February - April 1984), the income profile developed in the previous wave was brought up-to-date. The differences included the redesign of the income and asset recipiency portions of the questionnaire (which were put into a table format to facilitate the updating of information previously recorded) and the deletion of the subsidized housing questions from the program section. The design of the questionnaire reflects the fact that we start the second wave interview with a profile of the person's income and asset sources as reported in the first wave.

WAVE 3--HEALTH AND DISABILITY, EDUCATION AND WORK HISTORY TOPICAL MODULES

The first interview period that included supplemental questions for specific needs was the Wave 3 interview period (May - August 1984). The supplemental questions, referred to as topical modules, are included after the core and asked after the core data are collected. The following topical modules were added to the third interview (Wave 3): 1) Health and Disability, and 2) Education and Work History.

For the Education and Work History Topical Module, the respondents (15 years and older) in the sample household are asked questions about education such as their highest level of schooling attained, courses or program studied; whether they received job training; and, if they received training, for how long and under what program (e.g., CETA or WIN). They are also asked questions on their job history including the description of selected previous jobs and the number, duration, and reason for periods of time spent not working. This module provides a basis for understanding a person's earnings' income in conjunction with their educational background.

The people are asked questions about the general condition of their health, about functional limitations, work disability, and the need for personal assistance in the Health and Disability Topical Module. Did they have any hospital stays or periods of illness, what health facilities were used, and what health insurance plans (private or Medicare) do they have available. If their children have a physical, mental, or emotional problem, what is the cause of the problem and do they attend regular school. This module is included in SIPP because health and disability status are among the major factors affecting a person's work, earnings, income sources, and participation in public programs.

The Census Bureau developed the Wave 3 topical modules through consultation with the SIPP Interagency Subcommittee on Health and Disability and the SIPP Subcommittee on Education and Work History. The questions designed for the Wave 3 topical modules address policy and program concerns as identified by these subcommittees and the SIPP Interagency Advisory Committee.

The offices involved in this process were the Assistant Secretary for Planning and Evaluation of the Department of Health and Human Services; the Congressional Budget Office; the Congressional Research Service of the Library of Congress; the Defense Manpower Data Center of the Department of Defense; the General Accounting Office; the Office of Management and Budget; the National Center for Education Statistics; the Office of Planning and Evaluation Services of the Department of Education; the National Center for Health Statistics; the National Science Foundation; the Office of Policy of the Department of Labor;

the Office of Statistical Policy of Research Services and the Office of Reports and Statistics, both of the Veterans Administration; the Policy Development and Research Office of the Department of Housing and Urban Development; and the Social Security Administration.

WAVE 4--ASSETS AND LIABILITIES

The topical modules added to the Wave 4 interview period (September - December 1984) included: 1) Assets and Liabilities, 2) Retirement and Pension Coverage, and 3) Housing Costs, Conditions, and Energy Usage.

The Assets and Liabilities Topical Module is included in SIPP to broaden our understanding of the full financial demands on households and individuals. These data allow an examination of economic well-being beyond that which can be observed through the study of current income. Participation in many Federal programs is contingent upon not just the basic income level, but upon the assets held by the individual or household as well. Some of the major types of assets covered by this topical module are savings accounts, stocks, mutual funds, bonds, KEOGH or IRA accounts, home equity, life insurance, durable goods, and motor vehicles. Unsecured liabilities such as loans, credit cards, and medical bills are also covered.

Part of the information on assets and liabilities is located within the core of the questionnaire--that is, within the "Earnings and Employment" and "Amounts" sections. These questions ask the people for the income earned from their assets and employment, while the topical module questions ask for the value of the assets and, if self-employed, the value of their business.

For the topical module in Wave 4, the reference period was changed from that used in the core. In the core questionnaire, a total amount of earnings from an asset was obtained for the 4-month reference period. Now, on the assets topical module we ask for the amounts at one point in time, as of the last day of the 4-month reference period.

The Retirement and Pension Coverage Topical Module contains questions on coverage and vested rights in retirement or pension plans. People are asked such questions as when they expect to stop working, whether they will eventually receive retirement benefits, does their employer have a retirement plan and are they included in the plan, and how much do they expect to receive per year from these plans. This topical module will provide information related to retirement decisions.

The Housing Costs, Conditions, and Energy Usage Topical Module collects information on housing costs and characteristics of households that affect energy usage. Questions on value of home and automobile will be used in conjunction with assets and liabilities reported in the Assets and Liabilities Topical Module in order to calculate each individual's net worth. The topical module on energy usage will help fulfill a need for information concerning energy usage due to the increased interest in recent years over the rising costs of energy and concerns about conservation. The information can also be used in the analysis of the requirements of individuals and households to participate in energy assistance programs.

In addition to the topical modules for Wave 4, a new section was added to the core questionnaire. This new section is called the "Missing Wave." The Missing Wave does not collect any information relevant to the current reference period, rather it is used to collect selected recipiency information for respondents who missed the preceding wave interview. Collecting this information will allow greater accuracy in the construction of longitudinal files from SIPP. The Missing Wave will appear in subsequent waves through the remainder of the 1984 panel.

The Census Bureau developed the Wave 4 topical modules through consultation with the SIPP Interagency Advisory Committee and the SIPP Interagency Subcommittee on Net Worth. The offices involved in the Subcommittee on Net Worth are the Bureau of Economic Analysis of the Department of Commerce, the Congressional Budget Office, the Council of Economic Advisors, the Department of Treasury, the Office of Information and Regulatory Affairs of the Office of Management and Budget, and the Office of Policy Development and Research of the Department of Housing and Urban Development.

WAVE 5--A VARIETY OF TOPICAL MODULES

The topical modules to be added to the Wave 5 interview period (January - April 1985) include: 1) Child Care, 2) Welfare History and Child Support, 3) Reasons for Not Working/Reservation Wage, and 4) Support for Nonhousehold Members/Work-Related Expenses.

The Child Care Topical Module includes questions about child care arrangements such as who provides the care, the number of hours of care per week, where the care is provided and its cost. These types of information are useful in the analysis of labor force behavior. Also, child care expenses are a major part of work-related expenses and are frequently deductible for government program eligibility purposes.

The questions in the Welfare History and Child Support Topical Module will help determine how long persons may have received aid from specific welfare programs and will obtain information on child support agreements and their fulfillment. The data from the welfare history questions will measure the extent to which persons and households have been dependent upon government transfer programs in their general finances, and will help evaluate the effectiveness of the programs.

The Reasons for Not Working/Reservation Wage Topical Module will ascertain (1) the reasons why persons are not in the labor force, and (2) the conditions under which persons might want to join the labor force. The reservation wage questions ask about the pay rate that the person would require in order to begin working. Questions are also asked about job search, and if a respondent had been offered but did not accept a job, what was the reason he/she refused it.

The Support for Nonhousehold Members/Work-Related Expenses Topical Module will aid in measuring the fixed financial obligations of persons in order to obtain a better understanding of their economic situations. It contains questions that ask people about whether they provide regular payments to aid in supporting persons who are not members of their household. It also asks about expenses associated with their job such as union dues, licenses, permits, special tools, uniforms, or travel expenses.

The Census Bureau developed the Wave 5 topical modules through consultation with the SIPP Interagency Advisory Committee and SIPP Wave 5 Topical Module Subcommittee. The offices involved in this subcommittee are the Assistant Secretary for Planning and Evaluation of the Department of Health and Human Services; the Bureau of Labor Statistics, and the Office of Policy, both of the Department of Labor; the Congressional Budget Office; the Congressional Research Service of the Library of Congress; the Council of Economic Advisors;

Department of Agriculture; Department of Education; the Department of Medicine and Surgery of the Veterans Administration; Office of Management and Budget; the National Center for Education Statistics; the Office of Assistant Secretary of Defense of the Department of Defense; and the Office of Child Support Enforcement of the Social Security Administration.

WAVE 6--TAXES AND ANNUAL ROUNDUP

The topical modules to be added to the Wave 6 interview period (May - August 1985) include: 1) Annual Earnings and Benefits, 2) Property Income and Taxes, and 3) Education and Training.

The Annual Earnings and Benefits Topical Module includes questions that ask people about their calendar-year wages and salaries and income from their own business as well as the receipt of certain employer-provided benefits not covered elsewhere in SIPP, such as the use of a company car or truck, an expense account, or free meals and lodging. In addition, we ask a series of questions about "reasons for leaving" for those persons who left a job during 1984. Questions about calendar-year earnings, taxes, health and life insurance deductions, and retirement contributions are designed to obtain the most accurate data available and respondents are encouraged to refer to W-2 forms and other records.

In the Property Income and Taxes Topical Module, people are asked for information on rental income received during the calendar year and on interest earned and/or dividends from assets such as savings accounts, money market deposit accounts, interest-earning checking accounts, bonds, or stocks. They are also asked about Federal and state income tax liabilities and certain other tax information such as type of return, use of selected schedules (for example, Schedule A, Itemized Deductions; Schedule B, Interest or Dividends; or Form 4835, Farm Rental Income), and number of exemptions.

The calendar year has certain advantages as a reference period for the reporting of property income, and the property income data using this reference period may be more accurate than data obtained by summing data across waves.

The tax questions are being asked in order to better estimate the distribution of after-tax income and to help build better micromodels of the tax and transfer system.

The Education and Training Topical Module contains a brief set of questions about schooling costs and sources and amounts of educational assistance for persons 15 years old and over. In addition, we ask questions concerning job-related training such as whether the training was in connection with their present job or a new job, the type of training, when and where they received it, the length of the training, and who paid for the training. If it was not paid for by the person or the employer, we ask whether it was sponsored by a program such as the Job Training Partnership Act (JTPA) or Comprehensive Employment Training Act (CETA).

The Census Bureau developed the Wave 6 topical modules through consultation with the SIPP Interagency Advisory Committee and the SIPP Wave 6 Topical Module Subcommittee. The offices involved in this subcommittee are the Bureau of Economic Analysis of the Department of Commerce; Department of Treasury; Department of Health and Human Services; Social Security Administration; Bureau of Labor Statistics; Department of Labor; Office of Policy Development and Research of the Department of Housing and Urban Development; Veterans Administration; Office of Management and Budget; Congressional Budget Office; the Congressional

Research Service of the Library of Congress; the Economic Research Service of the Department of Agriculture; Department of Education; and the National Center for Education Statistics.

WAVE 7--ASSETS AND LIABILITIES, PENSION PLAN COVERAGE

In the Wave 7 interview period (September - December 1985), information is obtained for two topical modules: 1) Assets and Liabilities, and 2) Pension Plan Coverage.

The Assets and Liabilities Topical Module updates the information collected for the same module in Wave 4. Part C of the module, Real Estate Property and Vehicles, is a partial update of the Housing Costs, Conditions, and Energy Usage Topical Module that was included in Wave 4. It does not include information concerning rental property or condition of housing.

The Pension Plan Coverage Topical Module contains questions on coverage and vested rights in retirement or pension plans. This module also updates information obtained in Wave 4.

The Census Bureau developed the Wave 7 topical modules through consultation with the SIPP Interagency Advisory Committee and the SIPP Interagency Subcommittee on Net Worth. The offices involved in the Subcommittee on Net Worth include the Bureau of Economic Analysis of the Department of Commerce, the Congressional Budget Office, the Council of Economic Advisors, the Department of Treasury, the Office of Information and Regulatory Affairs of the Office of Management and Budget, and the Office of Policy Development and Research of the Department of Housing and Urban Development.

WAVE 8--MARRIAGE, FERTILITY, MIGRATION HISTORY

The topical modules added to the Wave 8 interview period (January - April 1986) include: 1) Household Relationships, 2) Migration History, 3) Marital History, 4) Fertility History, 5) Support for Nonhousehold Members/Work-Related Expenses.

The Household Relationships Topical Module is designed to ascertain the family and nonfamily relationships that link each person in the household to every other person in the household. Of particular interest is the recording of step and adoptive relationships. The increase in divorce and remarriage rates in recent years prompt a need to identify and understand new family unit mergers.

In the Migration History Topical Module, people are asked for information concerning place of birth (state or foreign country) for themselves and their parents, their citizenship, and the date they moved to the United States. Then they are asked a series of questions about the communities where they were born, where they are living now, and where they last lived. These questions concern the length of time lived in their current and prior residence, the reason they moved to their current residence, and whether they or someone else paid for the move. In addition, it is also determined whether they have a second residence for 30 or more days of the year. These questions will further our understanding of the role and importance of various forms of geographical mobility in the operation of labor markets and in the levels of participation in governmental programs.

The purpose of the Marital History Topical Module is to ascertain the marital history of persons 15 years of age or over. Questions are asked for as many as three marriages (first, second, and last). Dates of marriage, divorce, widowhood, and separation are obtained.

Data collected in the Fertility History Topical Module focus on the patterns of entry to and exit from the labor force relative to a woman's first birth. It asks questions concerning the woman's work history before, during pregnancy, or after the birth of her first child. If she stopped working, how long before the birth, did she quit or take maternity leave, and did the employer pay for all or part of her leave through maternity benefits or sick leave; if she went back to work, when, and was it for more than 35 hours a week. In addition to this joint fertility and employment history information, data are collected for all females 15 years old and over on the current living arrangements of the woman's first and last child, the birth expectations for women 18 to 44 years old, and the number of children born to all males aged 18 or over, regardless of their current marital status.

The Support for Nonhousehold Members/Work-Related Expenses Topical Module contains questions about providing regular payments for the support of persons who are not members of the SIPP household, and also about expenses associated with a person's job. These questions will aid in obtaining a measure of the fixed financial obligations of persons in order to obtain a better understanding of their economic situations. This topical module updates information originally collected in Wave 5.

The Census Bureau developed the Wave 8 topical modules through consultation with the SIPP Interagency Advisory Committee and the SIPP Wave 8 Topical Module Subcommittee. The offices involved in this subcommittee are the Assistant Secretary for Planning and Evaluation of the Department of Health and Human Services, Congressional Budget Office, Department of Agriculture, Department of Defense, Office of Child Support Enforcement of the Social Security Administration, and the Veterans Administration.

WAVE 9--FINAL ROUNDUP

In the Wave 9 interview period (May - August 1986), information is obtained on the following topical modules: 1) Annual Earnings and Benefits; 2) Property Income and Taxes; and 3) Education and Training. The information obtained in these modules covers the calendar year 1985 and updates the information collected in the Wave 6 topical modules, which covered calendar year 1984.

Figure 1. SURVEY OF INCOME AND PROGRAM PARTICIPATION
TOPICAL MODULE SCHEDULE

INTERVIEW DATES	1984 PANEL Wave	1984 PANEL Fixed Topical Module	1984 PANEL Variable Topical Module	1985 PANEL Wave	1985 PANEL Fixed Topical Module	1985 PANEL Variable Topical Module
Oct. 83- Jan. 84	1	None	None			
Feb. 84- Apr. 84	2	None	None			
May 84- Aug. 84	3	Health and Disability Work History Education History				
Sept. 84- Dec. 84	4	Assets Liabilities	Pension Plan Coverage Characteristics of Job from which Retired Retirement Plans and Expectations Housing Costs and Conditions Energy Usage			
Jan. 85- Apr. 85	5		Child Care Arrangements and Expenses Welfare History Support for Non-Household Members Social Services in Kind-Child Care and Other Reasons for Not Working Reservation Wage Work-Related Expenses	1	None (Feb. 1985-May 1985)	None
May 85- Aug. 85	6	Annual Income Taxes Employee Benefits Educational Financing and Enrollment	Training Questions	2	None (June 1985-Aug. 1985)	
Sept. 85- Dec. 85	7	Assets Liabilities	Pension Plan Coverage	3	Assets Liabilities	
Jan. 86- Apr. 86	8	Marital History Fertility History Migration History	Support for Non-Household Members Household Relationships Work-Related Expenses	4	Marital History Fertility History Migration History	Support for Non-Household Members Household Relationships Work-Related Expenses
May 85- Aug. 86	9	Annual Income Taxes Employee Benefits Educational Financing and Enrollment	Training Questions	5	Annual Income Taxes Employee Benefits Educational Financing and Enrollment	
Sept. 86- Dec. 86				6	Assets Liabilities	
Jan. 87- Apr. 87				7	Health and Disability Work History Education History	
May 87- Aug. 87				8	Annual Income Taxes Employee Benefits Educational Financing	

INCOME SOURCE SUMMARY (ISS)

INSTRUCTION – Column (a) will show the income source code. In column (b), mark (X) for all sources from which income was received during the reference period. Column (c) will show the type of income source. The Amounts section, should be filled starting with the page number shown in column (d) for those income sources which have been marked.

ISS code (a)	Mark (X) (b)	Type of income source and income source code (c)	Amounts section page number (d)
		INCOME CODES 1-7	
1		Social Security	
2		U.S. Government Railroad Retirement pay	
3		Federal Supplemental Security Income (SSI)	
5		State Unemployment compensation	
6		Supplemental Unemployment Benefits	
		INCOME CODES 8-13	
8		Veterans compensation or pensions	
		INCOME CODES 20-29	
20		Aid to Families with Dependent Children (AFDC, ADC)	
24		Other Welfare – *Specify*	(A) - 20, 23, 26, 29, 32, 35
27		Food Stamps	
28		Child Support payments	
29		Alimony payments	
		INCOME CODES 30-38	
30		Pension from company or union	
		INCOME CODES 40-46	
40		GI Bill education benefits	
		INCOME CODES 50-56	
55		Incidental or casual earnings	
		ASSET CODES 100-150	
		Interest Earning Assets	
100		Regular/passbook/savings accounts in a bank, savings and loan or credit union	(B) - 38
101		Money market deposit accounts	
102		Certificates of Deposit or other savings certificates	
103		NOW, Super NOW or other interest earning checking accounts	
104		Money market funds	(C) - 39
105		U.S. Government securities	
106		Municipal or corporate bonds	
107		Other interest-earning assets	
110		Stocks or mutual fund shares	(D) - 40
120		Rental property	(E) - 41
130		Mortgages	(F) - 42
140		Royalties	
150		Other financial investments	
		SPECIAL INDICATORS	
170		Worked	*DO NOT FILL*
171		Disabled	
172		Medicare	
173		Medicaid	
174		U.S. Savings Bonds	
175		Other educational assistance	

INCOME SOURCE LIST

INCOME LIST

Code	Type	Code	Type
1	Social Security	28	Child support payments
2	U.S. Government Railroad Retirement pay	29	Alimony payments
3	Federal Supplemental Security Income (SSI)	30	Pension from company or union
4	State Supplemental Security Income (State administered SSI only)	31	Federal Civil Service or other Federal civilian employee pensions
5	State unemployment compensation	32	U.S. Military retirement pay
6	Supplemental Unemployment Benefits	33	National Guard or Reserve Forces retirement
7	Other unemployment compensation (Trade Adjustment Act benefits, strike pay, other)	34	State government pensions
8	Veterans compensation or pensions	35	Local government pensions
9	Black lung payments	36	Income from paid-up life insurance policies or annuities
10	Worker's compensation	37	Estates and trusts
11	State temporary sickness or disability benefits	38	Other payments for retirement, disability or survivor
12	Employer or union temporary sickness policy	40	G.I. Bill/VEAP education benefits
13	Payments from a sickness, accident or disability insurance policy purchased on your own	50	Income assistance from a charitable group
20	Aid to Families with Dependent Children (AFDC, ADC)	51	Money from relatives or friends
21	General assistance or General relief	52	Lump sum payments
22	Indian, Cuban, or Refugee Assistance	53	Income from roomers or boarders
23	Foster child care payments	54	National Guard or Reserve pay
24	Other welfare	55	Incidental or casual earnings
25	WIC (Women, Infants and Children Nutrition Program)	56	Other cash income not included elsewhere
27	Food stamps		

ASSET LIST

Code	Type
100	Regular/passbook savings accounts in a bank, savings and loan or credit union
101	Money market deposit accounts
102	Certificates of Deposit or other savings certificates
103	NOW, Super NOW or other interest earning checking accounts
104	Money market funds
105	U.S. Government securities
106	Municipal or corporate bonds
107	Other interest-earning assets
110	Stocks or mutual fund shares
120	Rental property
130	Mortgages
140	Royalties
150	Other financial investments

SPECIAL INDICATORS

Code	Type
170	Worked
171	Disabled
172	Medicare
173	Medicaid
174	U.S. Savings Bonds (E, EE)
175	Other educational assistance

FORM SIPP 4100 (4-19-83)

CALLBACK SUMMARY

CHECK ITEM C1	Are any items marked on Reminder Card for . . .?	5000	1 ☐ Yes – *Mark appropriate item(s) below, then SKIP to Check Item C2* 2 ☐ No – *SKIP to Check Item C2*
☐	**1.** Social Security Number *(Enter in cc item 33b)*		☐☐☐-☐☐-☐☐☐☐
☐	**2.** Medicare claim number *(item 20b, page 7)*	5002	☐☐☐-☐☐- 5004 ☐☐☐☐-☐☐
☐	**3.** EMPLOYER **a.** Employer #1 (item 8, page 13) What was the total amount of pay received before deductions on this job in . . .?	5006	$ ____ . 00 Last month
		5008	$ ____ . 00 2 months ago
		5010	$ ____ . 00 3 months ago
		5012	$ ____ . 00 4 months ago
☐	**b.** Employer #2 (item 18, page 15) What was the total amount of pay received before deductions on this job in . . .?	5014	$ ____ . 00 Last month
		5016	$ ____ . 00 2 months ago
		5018	$ ____ . 00 3 months ago
		5020	$ ____ . 00 4 months ago
☐	**4.** SELF-EMPLOYMENT **a.** Self-employment #1 (item 7, page 17) What was the total amount of income received before deductions from this business in . . .?	5022	$ ____ . 00 Last month
		5024	$ ____ . 00 2 months ago
		5026	$ ____ . 00 3 months ago
		5028	$ ____ . 00 4 months ago
☐	**b.** Self-employment #2 (item 18, page 19) What was the total amount of income received before deductions from this business in . . .?	5030	$ ____ . 00 Last month
		5032	$ ____ . 00 2 months ago
		5034	$ ____ . 00 3 months ago
		5036	$ ____ . 00 4 months ago
☐	**5.** What was the average balance in savings/ Money market deposit accounts/ CD's/ NOW accounts held jointly by husband and wife? *(Item 2c, page 38)*		Amounts for the period – ____ through ____
		5038	$ ____ . 00
☐	**6.** What was the average balance in savings/ Money market deposit accounts/ CD's/ NOW accounts in own name? *(Item 3c, page 38)*	5040	$ ____ . 00
☐	**7.** What was the average balance in Money market funds/securities/bonds held jointly by husband and wife? *(Item 2c, page 39)*	5042	$ ____ . 00
☐	**8.** What was the average balance in Money market funds/securities/bonds in own name? *(Item 3c, page 39)*	5044	$ ____ . 00
☐	**9.** What was the amount received in dividends by husband and wife jointly? *(Item 1b, page 40)*	5048	$ ____ . 00
☐	**10.** What was the amount received in dividends in own name? (Item 2a, page 40)	5050	$ ____ . 00
CHECK ITEM C2	Has an interview been conducted for all household members 15+?	5052	1 ☐ Yes – *Enter finish time on cover page, fill cc items 36 and 39 and END INTERVIEW* 2 ☐ No – *Enter finish time for this household member, THEN interview next 15+ household member*

FORM SIPP-4100 (4-18-83)

CALLBACK SUMMARY