AES ERROR MESSAGES CREATED/CHANGED FOR SEPTEMBER 1999

169 FATAL ERR - INVALID FILING OPT. INDICATOR Modified June 1999
Data Elements: Filing Option Indicator, Exporter ID

Reason: The fatal error message occurs when the Filing Option Indicator contains an invalid value.

Resolution: Verify the filing option in use and report a valid code. The correct values include Default (Blank) for Option 2; 3 for Option 3; 4 for Option 4; P for Pre-departure Standard IOU and ALL Post-departure AES-PASS or E for Expanded Pre-departure AES-PASS IOU.

171 FATAL ERR - DEC NOT PASS/OPT4 ELIGIBLE NEW June 1999
Data Elements: Filing Option Indicator, Party ID Type, and Party Type

Reason: The fatal error message occurs when a Data Entry Center (DEC) attempts to file in AES using Option 4 or AES-PASS. The DEC can only report to AES using Filing Option 2 or 3 per signed agreement.

Resolution: Verify the filing option used to report the shipment to AES. If an approved Option 4 or AES-PASS exporter request the DEC to file on their behalf, then the DEC must file as a freight forwarder.

178 FATAL ERR - PRE-DEPARTURE FILING INCOMPLET NEW June 1999
Data Elements: Exporter ID, Forwarder ID, Carrier ID, Country of Ultimate Destination, Name of Ultimate Consignee, Commodity Description *or* Harmonized Number, Unique Identifying Number, Intended U.S. Port of Export, Estimated Date of Export, Transportation Reference Number, Mode of Transportation Code, HAZMAT, License Code, License Number

Reason: The fatal error message occurs when all the mandatory data elements for a particular commodity reported Pre-departure Option 3 were not included in the transaction.

Resolution: Verify that the filer has transmitted the mandatory (up to14) data elements pre-departure. Please note that while all commodities require a license code, not all commodities require a license number. The commodity description may be reported in lieu of the Harmonized Schedule Number. The Transportation Reference Number is only required when the MOT is 10, 11, or 12. If the Method of Transportation is 32, 33, 34, 50, 60, or 70 the Carrier ID (SCAC/IATA) is not required.

179 FATAL ERR - STATE DEPART. SHIPMENT INELIG NEW June 1999
Data Elements: License Code

Reason: The fatal error message occurs when a commodity shipment that requires a State Department license is reported as a Pre-departure Option 3 filing.

Resolution: Commercial documentation or other competent information should be examined to verify that a State Department license is required. If the shipment requires a State Department license, the filer should revert to AES Option 2 filing (full pre-departure information). AES will capture this message and make it available for compliance reporting purposes.

314 WARN ERR - POST/PRE DEP LIC DOESN'T MATCH NEW June 1999
Data Elements: Filing Option Indicator, License Number/CFR Citation

Reason: The warning message occurs when the license number on the post-departure filing does not match the license number reported on the pre-departure filing.

Resolution: Verify the license number is reported correctly on both the pre-departure and the post-departure Option 3 filing. It should be the same number on both. AES will capture this message and make it available for compliance reporting purposes.

315 WARN ERR - MISSING ECCN Modified June 1999
316 WARN ERR - INVALID ECCN Modified June 1999
Data Elements: License Code, Export Control Classification Number

Reason: The warning message occurs when a License Code requiring an ECCN is reported and the ECCN is missing or invalid.

Resolution: The Department of Commerce, Bureau of Export Administration, approved license or competent information should be examined to verify the four-position ECCN corresponding to the COCOM export control structure format which was submitted to AES. Correct and retransmit. AES will capture the error message and make it available for compliance reporting purposes. For further clarification of the problem, the transmitter should contact the licensing agency. The Department of Commerce, Bureau of Export Administration, Office of Enforcement Support can be reached on 202-482-4255.

321 WARN ERR - USED VEHICLES INELIGBLE Modified June 1999
Data Elements: Harmonized Schedule Number, Country of Ultimate Destination, Filing Option Indicator

Reason: The warning message occurs when a used vehicle with a country of ultimate destination other than the U.S. or Puerto Rico is reported using Filing Option 3 or Option 4. This type of shipment is not in compliance with filing requirements for Option 3 or Option 4.

Resolution: Verify the classification and country of destination. Used vehicles with a country of destination of the U.S. or Puerto Rico may be reported using Filing Option 3 or Option 4. Other countries of destination for shipments of used vehicles can not be reported in AES using these filing options. The filer must revert to Filing Option 2, full pre-departure information. It

is the exporter's responsible to file data using the correct filing option. The AES will accept the data; however, the above warning message will be captured and made available for compliance reporting purposes.

323 WARN ERR - STATE LICENSE INELIGIBLE Modified June 1999
Data Elements: License Code, License Number

Reason: The warning message occurs when a shipment requiring State Department license is entered under Post-departure Option 3 or Option 4 filing.

Resolution: Contact your Customs or Census Client Representative.

335 INFO ERR - SHIPMENT REPORTED LATE Modified June 1999
Data Elements: Date of Exportation (*or* Date of Arrival for shipments between the U.S. and Puerto Rico), Correction Flag, Line Correction Flag

Reason: The information error occurs when the Date of Exportation (*or* Date of Arrival for shipments between the U.S. and Puerto Rico) precedes the system date *and* the Header Correction Flag or Line Correction Flag is not present. This indicates that the transmission was not reported pre-departure as required using Filing Option 2. Option 3 filers have five (5) working days past the date of exportation of the pre-departure shipment to send complete post-departure data to AES. Approved Option 4 filers have 10 working days past the date of exportation to transmit post-departure data.

Resolution: Verify that the Date of Exportation (*or* Date of Arrival for shipments between the U.S. and Puerto Rico) is correctly reported. Please ensure that future shipments are reported prior to the carrier's departure or within the filing option timeframe. It is the filers responsibility to report shipments timely. AES will capture this error message and make it available for compliance reporting purposes.

373 INFO ERR - POST-DEPARTURE DATA OVERDUE NEW June 1999
Data Elements: Filing Option Indicator, Date of Exportation (*or* Date of Arrival for shipments between U.S. and Puerto Rico)

Reason: AES will automatically generate a reminder message every Tuesday to each filer whose post-departure submission is overdue. Filing Option 3 participants have up to five (5) working days to report the complete shippers export information after the date of exportation on the Pre-departure filing before it is considered overdue.

Resolution: Transmit complete post-departure data within the required timeframe. Any post-departure data that has not been submitted should be sent to AES. It is the filer's responsibility to ensure timely and complete reporting. AES will capture this reminder message and make it available for compliance reporting purposes.

374 INFO ERR - PRE-DEPARTURE DATA NOT FOUND NEW June 1999
Data Elements: Filing Option Indicator, Correction Flag, Line Correction Flag, and Shipment Reference Number

Reason: Upon receipt of a post-departure filing AES will match it with the corresponding pre-departure filing based on the Shipment Reference Number, i.e., the XTN. If a pre-departure record was not created prior to a post-departure filing, AES will generate this message and make it available for compliance reporting purposes.

Resolution: Pre-departure data will not be accepted *after* the post-departure record has been submitted to AES. It is the filer's responsibility to understand and use the appropriate filing options. No further action is required on the part of the filer.

375 WARN ERR - NO PRE-DEPARTURE LICENSE INFO NEW June 1999
Data Elements: Filing Option Indicator, License Code

Reason: AES verifies that the post-departure transmission does not contain a license number when the pre-departure transmission did not contain a license number.

Resolution: AES creates the error message and makes it available for compliance reporting purposes. No further action is required on the part of the filer.