

January 17, 2013

AES Broadcast #2013005

Tips on How to Resolve AES Fatal Errors



When a shipment is filed to the AES, a system response message is generated and indicates whether the shipment has been accepted or rejected. If the shipment is accepted, the AES filer receives an Internal Transaction Number (ITN) as confirmation. However, if the shipment is rejected, a Fatal Error notification is received.

To help you resolve AES Fatal Errors, here are some tips on how to correct the most frequent errors that were generated in AES this month.

Fatal Error Response Code:
004

Narrative: Filer/ Transmitter Not Authorized To Send

Reason: The Filer/ Transmitter combination was not recognized by AES.

Resolution: The combination of Filer ID, Transmitter ID, and Communication Password reported defines a pre-established AES filer/ transmitter. The Filer/ Transmitter combination must be authorized to file in AES.

Verify the Filer ID and Transmitter ID reported, correct the shipment and resubmit.

Fatal Error Response Code:
666

Narrative: ECCN Must Be From Approved List

Reason: The Export Control Classification Number (ECCN) reported is not on the list of codes allowed for the License Code/ License Exemption reported.

Resolution: See [Appendix F](#) - License and License Exemption Type Codes and Reporting Guidelines for a list of approved ECCN codes for the License Code/ License Exemption reported.

Verify the ECCN and License Code/ License Exemption, correct the shipment and resubmit.

For a complete list of Fatal Error Response Codes, their reasons, and resolutions, see [Appendix A - Commodity Filing Response Messages](#).

It is important that AES filers correct Fatal Errors as soon as they are received in order to comply with the [Foreign Trade Regulations](#). These errors must be corrected prior to export for shipments filed predeparture and as soon as possible for shipments filed postdeparture, but not later than ten calendar days after departure.

For further information or questions, contact the U.S. Census Bureau's AES Branch.

Telephone: (800) 549-0595, select option 1 for AES

Email: askaes@census.gov

Online: www.census.gov/trade

Blog: blogs.census.gov/globalreach